

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Linda D. Artman et al.

Title:

TREATING A VARIETY OF PATHOLOGICAL CONDITIONS, INCLUDING SPASTICITY AND CONVULSIONS, BY EFFECTING A MILD DECREASE IN CNS ACTIVITY WITH ISOVALERAMIDE, ISOVALERIC ACID, OR A

RELATED COMPOUND

Appl. No.:

10/614,344

Filing Date:

07/08/2003

Examiner:

Not yet assigned

Art Unit:

Not yet assigned

## <u>UNDER 37 CFR §1.56</u>

Mail Stop PATENT APPLICATION Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Applicants submit herewith on Form PTO-1449 a listing of the documents cited by or submitted to the U.S. PTO in parent application Serial No. 09/258,882, filed 03/01/1999. As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent application.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

## RELEVANCE OF EACH DOCUMENT

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Stephen A. Bent

Attorney for Applicant Registration No. 29,768

FOLEY & LARDNER

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4 November 2003

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002.1101967.1

(202) 672-5399

Sheet 1 of 7 Form PTO-1449 MENT OF COMMERCE U.S. DI ATTY. DOCKE SERIAL NO. (MODIFIED) PATENT AND TRADEMARK OFFICE 085747/0139 09/258,882 APPLICANT EINFORMATION DISCLOSURE CITATION Linda D. Artman et al. **GROUP ART UNIT FILING DATE** NOV 0 4 2003 Use several sheets if necessary) 3/01/1999 1614 **U.S. PATENT DOCUMENTS DOCUMENT FILING DATE EXAMINER** SUB-**REF** DATE NAME **CLASS** IF INITIAL NUMBER **CLASS APPROPRIATE A1** 5,506,268 4/96 BALANDRIN et al. 514 629 **FOREIGN PATENT DOCUMENTS** TRANSLATION DOCUMENT SUB-DATE REF COUNTRY **CLASS** NUMBER CLASS YES. NO 12/94 **WIPO** 94/28888 **A2** OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) F. Fonnum, Biochemistry, Anatomy and Pharmacology of GABA Neurons", in Psychopharmacology the Third А3 Generation of Progress, Raven Press, 1987, pp. 173-195. J. M. Cedarbaum et al., "Drugs for Parkinson's Disease, Spasticity and Acute Muscle Spasms", in Goodman A4 And Gilman's The Pharm. Basis of Thera., pp. 463-484, 1990. J. W. Lance, Symposium synopsis in Spasticity - Disordered motor control, Feldman et al. (Eds), 1980, A5 pp. 485-494. R. Bernasconi et al., in Anticonvulsants in Affective Disorders, pp. 14-32, (Excerpta Medica 1984) A6 K. L. Casey, "Pain and Central Nervous System Disease: A Summary and Overview", in Pain and Central Α7 Nervous System Disease: The Central Pain Syndromes, Raven Press, 1991, pp. 1-11. A. Danek et al., "Restless Legs Syndrome", Chapter 70, in Neurological Disorders: Course and Treatment, 8A 1996, pp. 819-823. P. D. Lyden, "GABA and Neuroprotection", Chapter 10, in Neuroportective Agents and Cerebral Ischaemia, A9 IRN 40, 1997, pp. 233-258. W. A. Hauser, "Risk Factors for Epilepsy, Chapter 1", in The Epilepsies Etiologies and Prevention, 1999 A10 V. E. Tyler et al., Pharmacognosy, 9th ed. (Lea and Febiger 1988), pp.456-494.

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<sup>\*</sup> EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with nex communication to applicant.

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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